



Rosemary Lehmberg ★ Travis County District Attorney

P.O. Box 1748 Austin, Texas 78767 • Telephone: 512-854-9400 • Fax: 512-854-9695

For Immediate Release

January 29, 2015

Contact: Gregg Cox

512-854-9530

Travis County District Attorney Rosemary Lehmberg today announced that the Public Integrity Unit has concluded its investigation into allegations involving Texas Attorney General Ken Paxton.

The allegations examined included: (1) whether Paxton committed a criminal offense by performing certain functions while not being registered with the State Securities Board as an investment advisor representative as required under the Texas Securities Act, (2) whether Paxton provided false information to the Securities Board during the Board's investigation of the matter, and (3) whether Paxton failed to report all sources of occupational income in his personal financial statements filed with the Texas Ethics Commission.

After conducting a thorough investigation, the Public Integrity Unit determined that there is insufficient evidence to support a prosecution for any offense where venue would be appropriate in Travis County. With respect to the allegations regarding Paxton's failure to register as an investment advisor representative, the Public Integrity Unit determined that any conduct that might constitute an offense occurred outside of Travis County, and venue for any further investigation would be in the county where the conduct occurred. That portion of the investigation has been closed for lack of venue and referred to the appropriate authorities in Collin and Dallas counties.

"Our investigation did not find any additional criminal activity over which our office has venue, so we are concluding Travis County's involvement in this matter," said District Attorney Rosemary Lehmberg.

###